Topics

- Outgoing Subawards
- Staff Updates
Outgoing Subawards - Challenges

- Delayed processing timelines
- Increased volume
- Increased complexity
- Unexpected loss of senior staff
- Uniform Guidance – Risk Assessment

- New, reassigned and temporary staffing
Outgoing Subaward Volume

Outgoing Subawards by Fiscal Year:
FY 2014 – FY 2019 (February)

- 32% increase in number of outgoing subaward transactions between FY 2014 and FY 2018
- New, high visibility award includes 180+ subawards to be issued
- 3 times/12 month period (540)
Outgoing Subaward Volume

Outgoing Subawards by Fiscal Year:
FY 2014 – FY 2019 (February)

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Average Net Work Days</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2019 through February</td>
<td>38.6</td>
</tr>
<tr>
<td>FY 2018</td>
<td>29.9</td>
</tr>
<tr>
<td>FY 2017</td>
<td>30.9</td>
</tr>
<tr>
<td>FY 2016</td>
<td>30.7</td>
</tr>
<tr>
<td>FY 2015</td>
<td>38.4</td>
</tr>
<tr>
<td>FY 2014</td>
<td>37.9</td>
</tr>
</tbody>
</table>
Outgoing Subaward – Most Commonly Used Statuses

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>FY 2014</th>
<th>FY 2015</th>
<th>FY 2016</th>
<th>FY 2017</th>
<th>FY 2018</th>
<th>FY 2019 thru February</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2014</td>
<td>1,269</td>
<td>935</td>
<td>918</td>
<td>282</td>
<td>137</td>
<td>48</td>
<td>3,589</td>
</tr>
<tr>
<td>FY 2015</td>
<td>484</td>
<td>517</td>
<td>548</td>
<td>562</td>
<td>542</td>
<td>250</td>
<td>2,903</td>
</tr>
<tr>
<td>FY 2016</td>
<td>292</td>
<td>152</td>
<td>150</td>
<td>125</td>
<td>121</td>
<td>132</td>
<td>972</td>
</tr>
</tbody>
</table>

- Pending Negotiation – Subawardee
- Pending Internal Documents
- Awaiting Signature – Subawardee
- Pending Negotiation – PI/Dept
Outgoing Subaward – Commonly Missing/Pending Items

Commonly Requested Documents/Information

- Subrecipient versus Contractor Determination form
- Subrecipient Commitment form
- Subrecipient SOW
- Subrecipient budget/justification
- IRB/ARC approvals
- New subawardee requiring sponsor approval
- Incomplete/incorrect forms
- Draft PO from Purchasing
Impact of Missing Internal Documents on Processing Timeline

Average Net Work Day Processing for Subgrant Pending Internal Documents
FY 2014 to FY 2019 through Q2

Fiscal Year

- FY 2019 through Q2: 10.1 Days Processing 249 Agreements
- FY 2018: 9.7 Days Processing 514 Agreements
- FY 2017: 8.7 Days Processing 537 Agreements
- FY 2016: 10.0 Days Processing 469 Agreements
- FY 2015: 9.7 Days Processing 464 Agreements
- FY 2014: 6.6 Days Processing 469 Agreements

Average 10 days added to processing timelines.
Outgoing Subaward Team

- Jim Fong – Interim Assistant Director/Assistant Director Contracts and Incoming Subawards
- Kathy Leiva – Officer, Outgoing Subawards
- Joe Gibbs – Senior Analyst, Incoming Subawards
- Shawn Hoffman – Senior Analyst, Outgoing Subawards
- Katy Sonnenleiter – Analyst, Outgoing Subawards
- Aurelio Damiani – Analyst, Outgoing Subawards (Consultant)
- Ashley Severa – Specialist, Outgoing Subawards
- Patrick Busto – Specialist, Outgoing Subawards
New Staff:

- **Maria Shuch** – Senior Analyst – Grants and Cooperative Agreements - over 10 years’ experience with US Defense agencies, and most recently with Yale University as an Award Manager in the Office of Sponsored Projects.

- **Shawn Hoffman** – Senior Analyst – Outgoing Subawards – over 12 years’ experience in health information, academic affairs, C&G administrations at Cedars Sinai and City of Hope.

- **Gillian Weaver** – Analyst – Intake Team - over 3 years’ experience with various State of Colorado offices and currently joins us from the State of Colorado Energy Office.

- **Helen Kim** – Specialist – Incoming Contracts and Subawards – over 20 years’ experience in medical research, research administration, and law at MIT and Harvard.

- **Marlo Thomas** – Interim Assistant to the Director
OCGA Staff

Recruiting for:

• Assistant Director, Outgoing Subawards Team
• Officers/Senior Analysts/Analysts – Grants/Cooperative Agreements Team
• Specialist – Grants/Cooperative Agreements team

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QUESTIONS