### Proposal Submissions
**FY14 – FY16**

<table>
<thead>
<tr>
<th>Administering Office</th>
<th>FY 2014</th>
<th>FY 2015</th>
<th>FY 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Count</td>
<td></td>
<td></td>
</tr>
<tr>
<td>OCGA</td>
<td>4,855</td>
<td>4,922</td>
<td>4,913</td>
</tr>
<tr>
<td></td>
<td>Dollars</td>
<td>$3.6 B</td>
<td>$3.7 B</td>
</tr>
<tr>
<td>Overall Campus</td>
<td>Count</td>
<td>5,385</td>
<td>5,470</td>
</tr>
<tr>
<td></td>
<td>Dollars</td>
<td>$3.7 B</td>
<td>$3.8 B</td>
</tr>
</tbody>
</table>

- Average of 23 proposal submissions per working day for all of UCLA
- Average of 20 proposal submissions per working day for OCGA
- Office of Contract and Grant Administration handles 90% of transactions
On-Time Proposal Submissions to OCGA FY15 – FY16

<table>
<thead>
<tr>
<th>FY 2015</th>
<th>FY 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>Received the Same Day:</td>
<td>543</td>
</tr>
<tr>
<td>1 Day in Advance:</td>
<td>617</td>
</tr>
<tr>
<td>2 Days In Advance:</td>
<td>529</td>
</tr>
<tr>
<td>3 Days in Advance:</td>
<td>456</td>
</tr>
<tr>
<td>4 Days In Advance:</td>
<td>483</td>
</tr>
<tr>
<td>5 Days or More:</td>
<td>565</td>
</tr>
</tbody>
</table>

- Increase in proposals received 5 days or more.
- 70% (7 out of 10) non-compliant.
Requested Dollars by Sponsor Type
FY14 – FY16

Complex applications continue to rise.

- Prospective agreement to problematic terms and conditions
- Vague and/or unfamiliar guidelines
- Unique/non-standard sponsor submission systems
- Often require F&A Requests for Exception
Complex versus Expedited Awards
FY13 – FY16

- Continuing steady increase of complex awards
- Decrease in standard expedited awards
- Non-standard/non-favorable terms and conditions
- Protracted processing times
- Input/approval from a number of parties
Award Processing Times – Expedited versus Complex
FY13 – FY16

- Consistent processing timeline for expedited awards.

- Five business day reduction in processing times for complex awards!
Expedited Award Processing
FY16

- Favorable terms – no negotiation/no signature
- Missing Internal documents (signed eDGE forms, EPASS, IRB/ARC Approvals)
- FCOI reviews (small percentage)
Expedited Award Processing
FY16

- Majority of expedited awards did not require input from department, RPC, IRB, ARC, etc.
- Ensures two-day turnaround time.
- Complete proposal materials lead to efficient, quick award processing and fund number assignment.
Complex Award Processing FY16

- Missing Internal documents (signed eDGE forms, EPASS, IRB/ARC Approvals)
- Protracted negotiations
- Internal UCLA/UCOP review/approvals
Complex Award Processing
FY16

- Complex awards require increased interaction outside OCGA.
Complex Award Processing
FY16

- OCGA Action Only: 6
- One External Agreement Action: 17
- Two or More External Agreement Actions: 27
Common Agreement Statuses
FY16

<table>
<thead>
<tr>
<th>Status</th>
<th>Number of Agreement Actions</th>
<th>Percent of Total Agreement Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pending Internal Documents</td>
<td>1,856</td>
<td>40.0%</td>
</tr>
<tr>
<td>Pending Sponsor</td>
<td>1,412</td>
<td>30.4%</td>
</tr>
<tr>
<td>Pending RPC Review</td>
<td>478</td>
<td>10.3%</td>
</tr>
<tr>
<td>Pending PI/Department</td>
<td>386</td>
<td>8.3%</td>
</tr>
<tr>
<td>Pending Miscellaneous</td>
<td>509</td>
<td>11.0%</td>
</tr>
</tbody>
</table>
Awards Received without Proposal
FY16

- Average of 63 awards per month.
- $132.3M awarded dollars.
Awards Processing Timelines – Award Received *with versus without* Proposal FY16

**Complex Awards**
- Awarded/Fully-Executed: 11
- Fully-Executed/Award Received Without Proposal: 25

**Expedited Awards**
- Awarded/Fully-Executed: 2
- Fully-Executed/Award Received Without Proposal: 3
Awards Received without Proposal
FY16

- 30% of Charitable/Non-Profit awards received without proposal.
- 15% of all awards received without proposal.

<table>
<thead>
<tr>
<th>Sponsor Type Category</th>
<th>Awarded/Fully-Executed</th>
<th>Fully-Executed/Award Received Without Proposal</th>
<th>Sponsor Type Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Government</td>
<td>1,949</td>
<td>74</td>
<td>2,023</td>
</tr>
<tr>
<td>Business &amp; For-Profit</td>
<td>30</td>
<td>16</td>
<td>46</td>
</tr>
<tr>
<td>State &amp; Other Government</td>
<td>127</td>
<td>73</td>
<td>200</td>
</tr>
<tr>
<td>Higher Education</td>
<td>804</td>
<td>167</td>
<td>971</td>
</tr>
<tr>
<td>Charitable &amp; Non-Profit Orgs</td>
<td>822</td>
<td>337</td>
<td>1,159</td>
</tr>
<tr>
<td>Overall Total</td>
<td>3,732</td>
<td>667</td>
<td>4,399</td>
</tr>
</tbody>
</table>
Staff Updates

NEW Staff:

• Tameka Pratt – Grant Analyst
  Program Assistant with the Department of Justice. Expertise in pre- and post- award activities including review of grant LOIs and proposals, monitoring expenditures and financial statements, and reviewing federal grants and cooperative agreements.

• Tawnya Charters – Grant Analyst
  Harvard University Graduate School of Arts and Sciences. NSF proposal experience, managed NSF fellowship grants supporting over 350 fellows.

• Emery Ham – Proposal Intake Specialist
  Quality Assurance Administrative Assistant/Coordinator at a radiological diagnostic firm. Extensive data creation, review and audit expertise.
Questions?