Today’s Topics

• Federal Shutdown – Patti Manheim
• FDP Meeting Report – Kim Duiker, Sharon Lam, Cindy Gilbert
• Stop Work Orders/Termination Notices – Heather Winters
Federal Shutdown

- Updates via ORA News list-serv and OCGA website
  - **To subscribe:** Send an e-mail to: ora-news-subscribe@lists.ucla.edu. The subject line and body of the e-mail can be blank.
  - www.research.ucla.edu/ocga
Federal Shutdown – Proposal Submissions

Proposal Submissions
- Grants.gov is operational
- No NIH, NSF, or DOJ submissions during shutdown
  - NIH STRONGLY encourages institutions NOT to submit applications during the shutdown.
  - We have no way of predicting how many applications will be stored in Grants.gov for transmission to the Commons once business operations resume.
  - It is possible that applications could be lost during this period.
  - If a large backlog is created, there could be a “log jam” of applications to be validated and posted in the Commons which could result in applications taking hours or days to post. They may even take longer to post than applications submitted after normal operations resume.
  - NIH’s plan for restoring service and updating deadlines will, in all likelihood, be dependent on the length of the shutdown.
Federal Shutdown – Proposal Submissions

Proposal Submissions

- CDMRP, NASA NSPIRES, HRSA eHandbook systems are operational
- Many sponsors are silent about submissions
  - if they are silent … we are submitting
Federal Shutdown – Proposal Submissions

• 140 Submissions Affected
  ▪ 53 with 10/7/13 sponsor deadline
  ▪ At least one proposal *was* due each day of the shutdown
  ▪ 35 DRA applications
Federal Shutdown – Other Implications

- Stop-work Orders – may require indefinite staff reassignment
- Existing Awards
  - Continue to operate on existing awards
  - Cannot request administrative actions (carry-forward, NCE, no critical involvement of federal personnel, etc.)
  - NIH and NSF progress reports cannot be submitted
  - DOJ funds currently frozen due to overdue reports or other reasons will remain frozen until normal business operations resume.
  - Program/Technical Managers likely not available because personnel are on furlough
Federal Shutdown – Other Implications

Peer Review
• NIH will not be able to conduct initial Peer Review or Advisory Council review meetings
• Applicants with applications pending Council review during the closure should check the NIH website for information after operations resume
• NSF panels scheduled to occur during the shutdown will be cancelled and will likely be rescheduled to a later date
Federal Demonstration Partnership - FDP

• An association of federal agencies, academic research institutions with administrative, faculty and technical representation, and research policy organizations

• Streamline the administration of federally sponsored research, reduce administrative burden.

• Cooperate in identifying, testing, and implementing new, more effective ways of managing the more than $15 Billion in federal research grants.

• The goal of improving the productivity of research without compromising stewardship.
Federal Demonstration Partnership - FDP

- A forum for individuals from universities and nonprofits to work collaboratively with federal agency officials to improve the national research enterprise.
- Allows faculty and administrators talk face-to-face with decision-makers from agencies that sponsor and regulate research.
- They hold spirited, frank discussions, identify problems, and develop action plans for change.
- www.thefdp.org
Federal Demonstration Partnership - FDP

- Meetings held three times per year
- As a member, UCLA sends key representatives in the areas of:
  - Grants and Contracts – Kim Duiker
  - Subaward Working Group – Sharon Lam
  - eRA and Commons Working Group – Cindy Gilbert
FDP Updates – Federal Sponsors


• September 2013 – Multi-Project Transition to Electronic Submission

NIH – NOT-OD-13-093 – Individual Development Plans (IDP)

• October 2014 – Institutions will be encouraged to develop IDP’s and report on these for such individuals reported as “Participants” on the RPPR or on a Statement of Appointment Form (PHS2271) beginning in October 2014.

• IDP will NOT be required; reporting will focus on how the IDP is used to help manage the training for these individuals.
FDP Updates – Federal Sponsors

NIH – NOT-OD-13-097 – Commons ID Requirement

- August 2013 – Common ID’s for all Graduate and Undergraduate student’s roles who participate in NIH funded projects for at least one (1) person month or more.
- Three (3) new roles have been added to the commons for this purpose: Undergraduate, Graduate Student and Project Personnel.
- October 2013 – A warning will be generated when an RPPR is submitted if a common ID has not established.
- October 2014 – RPPR’s lacking common ID’s for Graduate and Undergraduate students will receive an error message and the RPPR will not be accepted.
FDP Updates – Federal Sponsors

NIH Implementation of New HHS Closeout Requirements – Policy Guide Notices upon Implementation

- Maintains the 90 day requirement for recipients to submit closeout documents after completion of the award.
- October 2014 – Revised Financial Reports and Expenditures must be submitted no later than 6 months from the due date for the original report.
- A 270 day post-project period requirement for agency completion of the entire closeout process.
- Will include new guidelines for Administrative Closeout (aka “unilateral closeout”) – If acceptable final reports are not received by NIH, GMO must initiate unilateral closeout of the award within 180 days of the completion date of the award. Defined as awards without receipt of all final reports required by the Terms and Conditions of an award, after making reasonable efforts to obtain them.
FDP Updates – Federal Sponsors

NSF

• March 2013 Research.gov fully rolled out.
• Replaces annual, interim and final project reports in Fastlane.
• Key points:
  ▪ Reports are intended to be cumulative;
  ▪ Information is for the specific reporting period;
  ▪ For separately submitted collaborative proposals, each separate component must report;
  ▪ Project Outcome Reports for the General Public are still required;
  ▪ Annual and final reports cannot be recalled once an NSF PO has approved it;
  ▪ At this time, only PI’s and co-PI’s have the ability to work on and submit project reports in Research.gov.
FDP Updates – Federal Sponsors

- Enhancements:
  - Completion Check – identifies any areas within the Project Reports that still need to be completed;
  - Products Section – Only collect publication year;
  - Changing certain product fields to “not required;”
  - Updating warning messages;
  - Corrected character counter;
  - Corrected list size limitations for products and participants;
  - Improvements to copy/paste functionality.
FDP Updates – Federal Sponsors

NASA

• China Restriction Update – As previously discussed.
• March 2013 - Latest Restriction on Bilateral Activity with China (Acquisitions, information, technology systems – Public Law 113-6, Sec. 516) and applying to NASA, Commerce, Justice, NSF, but Office of Science and Technology Policy (OSTP) – Applies to all Contracts.
  ▪ These agencies may not acquire an information technology system produced, manufactured or assembled by one or more entities that are owned, directed or subsidized by the People’s Republic of China unless……the head of the assessing entity determines, and reports that determination to Committees on Appropriations of the House of Representatives and the Senate, that the acquisition of such system is in the national interest of the United States.
FDP Updates - Subawards

• FDP Template Cover Page
  ▪ Bilateral/Unilateral indicator
  ▪ Coming soon

• Fixed Price Template
  ▪ Under discussion/development

• Research Subcontract
  ▪ Released to FDP members for use
  ▪ Public release anticipated for early 2014
FDP Updates - eRA

Ongoing Discussions/Demonstrations:

• InCommon – Federated Identity Management
  ▪ Utilizes university single sign-on authentication (UCLA Logon ID)
  ▪ Expand use to non-UCLA systems

• SciENcv – Science Experts Network Curriculum Vitae
  ▪ Uses federated login
  ▪ Data from MyNCBI, NIH Commons, user entry
  ▪ Beta Site: Google or NIH Logins
Commons Working Group

- RPPR Phase II: Complex/Multi-project
  - Will replace paper (2590) submissions
  - Includes detailed budget information
  - UCLA has five eligible projects
  - Kick-off webinar scheduled for October 22
Commons Working Group

• Roles, Rights, and Delegations
  ▪ Short term – new roles
  ▪ Long Term – design your own roles
  ▪ Additional Delegations

• Implications of New Commons ID Requirements
Stop Work Orders/Termination Notices

- What should PI/Department do?
- What will OCGA do?
QUESTIONS