Effort Reporting System

- Greg Swindell
  Assistant Director, Compliance and Cash Flow
  Extramural Fund Management
## Effort Reporting Metrics

As of 10/12/11

- **Completion Rates at UCLA**
  - 96.4% overall certification rate on Campus
- **Open Reports**
  - 4,773 open reports out of 131,175

100% of Effort Reports Must be Complete by **November 1**

Spring 2011 and Summer 2011 quarters

- Release projected for mid November

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Fall</th>
<th>Winter</th>
<th>Spring</th>
<th>Summer</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Cert Rate</td>
<td>Cert #</td>
<td>Open #</td>
<td>Cert Rate</td>
</tr>
<tr>
<td>2005-2006</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>2006-2007</td>
<td>98%</td>
<td>6117</td>
<td>111</td>
<td>97%</td>
</tr>
<tr>
<td>2007-2008</td>
<td>98%</td>
<td>5888</td>
<td>106</td>
<td>98%</td>
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<tr>
<td>2008-2009</td>
<td>98%</td>
<td>5960</td>
<td>111</td>
<td>97%</td>
</tr>
<tr>
<td>2009-2010</td>
<td>97%</td>
<td>6352</td>
<td>179</td>
<td>96%</td>
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<tr>
<td>2010-2011</td>
<td>88%</td>
<td>5774</td>
<td>750</td>
<td>86%</td>
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</tbody>
</table>
### ERS Report Update

#### Federal and Federal Flow-Through Sponsored Projects

<table>
<thead>
<tr>
<th>Project ID</th>
<th>Description</th>
<th>Payroll [%]</th>
<th>Paid Effort [% to Certify]</th>
<th>N/A to UCLA [%]</th>
<th>Cost Share Effort [% to Certify]</th>
<th>Total [%]</th>
<th>Total Effort [% to Certify]</th>
<th>Line Certified</th>
</tr>
</thead>
<tbody>
<tr>
<td>4-443976-VH-31209</td>
<td>FDP-NIH NS029367 HARTENSTEIN 6/09 54%</td>
<td>50%</td>
<td>50%</td>
<td></td>
<td></td>
<td>50%</td>
<td>50%</td>
<td>✅</td>
</tr>
<tr>
<td>4-441437-GZ-29605</td>
<td>FDP-NIH NS048396 GUO 8/11 54%</td>
<td>50%</td>
<td>50%</td>
<td>0%</td>
<td>0%</td>
<td>50%</td>
<td>50%</td>
<td></td>
</tr>
</tbody>
</table>

- Line certified by VOLKER HARTENSTEIN on October 30, 2008

#### Total Federal and Federal Flow-Through Sponsored Projects requiring certification

- Payroll [%]: 100%
- Paid Effort [% to Certify]: 100%
- N/A to UCLA [%]: 0%
- Cost Share Effort [% to Certify]: 0%
- Total [%]: 100%
- Total Effort [% to Certify]: 100%

#### Other Sponsored Projects

- 0% (0%)
- 0% (0%)
- 0% (0%)
- 0% (0%)

#### Non-Sponsored Activities

- 0% (0%)
- 0% (0%)
- 0% (0%)

#### Total Other Effort not requiring certification

- 0% (0%)
- 0% (0%)
- 0% (0%)

#### Grand Total

- 100% (100%)
- 100% (100%)
- 0% (0%)
- 100% (100%)

- UPDATE CALCULATION