OCGA Updates

Research Administrators Forum
October 14, 2010
OCGA Updates

- NIH Updates and Reminders
  - New Time Limit for Resubmissions
  - Transition to Adobe-Forms-B1
  - Elimination of Error Correction Window for Electronic Applications
  - New Policy on Post-Submission Application Materials
  - Determining Early Stage Investigator Eligibility

- NSF Updates
  - New Proposal and Award Policies and Procedures Guide (PAPPG)
NIH Updates and Reminders

- New Time Limit for Resubmissions
  - NOT-OD-10-140; October 1, 2010
  - Intended to stimulate new research directions for projects that were unsuccessful in initial submission
  - Resubmission (A1) must be within 37 months of initial submission
  - Special guidance if initial applications were:
    - Submitted under late policies
    - Continuous submission
NIH Updates and Reminders

- Transition to Adobe-Forms-B1
  - NOT-OD-11-007; September 29, 2010
  - Update the following forms:
    - Project/Performance Site Location(s)
    - R&R Other Project Information
  - Does not represent a major change to PHS 424 Guide
  - May use either Adobe-Forms-B or Adobe-Forms-B1 for applications due on or before May 7, 2011
- Exceptions:
  - K-, T-, D-, and F-type applications due on or after January 25, 2011 require use of Adobe-Forms-B1
- Watch S2S Grants list-serv for information on availability of forms
NIH Updates and Reminders

- Elimination of Error Correction Window for Electronic Applications
  - NOT-OD-10-123; August 16, 2010
  - Affects applications with due date on or after January 25, 2011
  - Changed/Corrected applications to address system generated errors or missing/incorrect application materials will be subject to late policy if submitted after the posted deadline
  - Two-day view window remains in effect
  - Can still submit changed/corrected apps within the two-day window before the deadline
NIH Updates and Reminders

- New Policy on Post-Submission Application Materials
  - [NOT-OD-10-115](#); July 23, 2010
  - Affects applications with due date on or after September 25, 2010
  - Materials submitted after application submission but before peer review
  - Not to be used to correct oversights/errors discovered after submission
  - Signing Official concurrence required
  - Defines acceptable and unacceptable post-submission materials
NIH Updates and Reminders

Acceptable:
- Revised budget pages
- Biosketches due to changes in senior/key personnel
- Letters of support due to changes in senior key personnel
- Adjustments resulting from natural disasters
- Adjustments resulting from change of PI institution
- Article accepted for publication
NIH Updates and Reminders

❖ Unacceptable:
  ➢ Updated Specific Aims or Research Strategy Pages
  ➢ Late breaking research findings
  ➢ Letters of support/collaboration that do not result from change in key personnel

❖ Exceptions:
  ➢ Refer to notice cited above, or
  ➢ Contact your OCGA team or DRA
NIH Updates and Reminders

- Determining Early Stage Investigator Eligibility
  - NOT-OD-09-021; November 21, 2008
  - New Investigator check box removed from SF 424 and PHS 398
  - New investigators need to establish/update Commons profile to include degree and residency dates
  - NIH Early Investigator website:
NSF Updates

- **New Proposal and Award Policies and Procedures Guide** (PAPPG)
  - Affects applications submitted or due on or after January 18, 2011
  - Key changes/clarifications – proposals:
    - Cover Sheet
    - Data Management and Sharing Plan
    - Cost Sharing
    - Project Summary
NSF Updates

- Cover Sheet - Project/Performance Primary Location
  - Consistency with FFATA requirements and SF 424 forms
  - Replaces Performing/Research Organization
NSF Updates

- Data Management and Sharing Plan
  - Part of merit review criteria
  - Must either include or provide explanation as to why it’s not necessary
  - FastLane will not allow submission if missing
NSF Updates

- **Cost Sharing**
  - Revised to implement recommendations of the National Science Board (NSB)
  - Voluntary committed cost sharing is not permitted
  - All resources required and available for the project must be described without quantifiable financial information
  - Does not alleviate the responsibility for tracking and reporting faculty effort
  - Program managers may not impose or encourage cost sharing unless explicitly included in the program solicitation
Cost Sharing (continued)

- Mandatory cost sharing only allowed by authorized by the NSF Director, NSB or legislation
- When included on budget line M, legally binding and subject to audit
- Eligibility rather than review criterion

For more information:
NSF Updates

❖ Project Summary

➢ Use of separate headings to highlight the merit review criteria is encouraged