Today’s Topics

- **OCGA – Patti Manheim**
  - Year in Review – 2015
  - Proposal Processing
  - OCGA Updates
  - OCGA Office Hours

- **FDP Updates – Kathy Kawamura**

- **NSF revised version of the NSF Proposal & Award Policies & Procedures Guide** – Evan Garcia

- **CIRM 2.0 Guidelines – Heather Winters**
## Transaction Volume for OCGA FY2015

<table>
<thead>
<tr>
<th>Transaction Type</th>
<th>OCGA</th>
<th>UCLA</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Count</td>
<td>Dollars</td>
</tr>
<tr>
<td>Proposal Records</td>
<td>4,922</td>
<td>$3,702,247,249</td>
</tr>
<tr>
<td>Award Transactions</td>
<td>4,609</td>
<td>$926,106,515</td>
</tr>
<tr>
<td>Outgoing Subawards</td>
<td>891</td>
<td>$103,570,986</td>
</tr>
</tbody>
</table>

Average of 20 proposal submissions and 19 award transactions per working day
Receipt of Proposals in Advance of Deadline - FY15

- 21.7% or over 1 in 5 Proposals were received the Same Day as the Sponsor Due Date
- 48.9% or 1 in 2 Proposals were received 2 Days or Less in Advance of Sponsor Due Date

Excludes CTAO & OIP ISR Proposals
Receipt of Proposals in Advance of Deadline – FY14 versus FY15

For FY2014, 25.2%, or 1 in 4 Proposals were received by OCGA the Same Day as Sponsor Due Dates.

For FY2015, there was incremental improvement, as 21.7% of Proposals were received by OCGA the Same Day as Sponsor Due Dates.

Excludes CTAO & OIP ISR Proposals
Expedited versus Complex Awards - FY13 to FY15

- FY 2015, Complex Awards received was 63.8%, 11.4% increase from FY13 (52.4%).

Increasing number of Complex Awards as expected based on the changes in sponsor types for proposals.
Proposed Dollars by Sponsor Type – FY14 versus FY15

<table>
<thead>
<tr>
<th>Sponsor Type</th>
<th>FY14 Requested Amount</th>
<th>FY15 Requested Amount</th>
<th>Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Government</td>
<td>$2,778.6 M</td>
<td>$2,798.3 M</td>
<td>+1%</td>
</tr>
<tr>
<td>Business &amp; For-Profit</td>
<td>$9.5 M</td>
<td>$4.8 M</td>
<td>-94%</td>
</tr>
<tr>
<td>State &amp; Other Government</td>
<td>$218.2 M</td>
<td>$147.7 M</td>
<td>-48%</td>
</tr>
<tr>
<td>Higher Education</td>
<td>$292.9 M</td>
<td>$368.6 M</td>
<td>+26%</td>
</tr>
<tr>
<td>Foundations &amp; Charities</td>
<td>$348.2 M</td>
<td>$272.7 M</td>
<td>+10%</td>
</tr>
<tr>
<td>Interest Groups &amp; Other</td>
<td>$87.3 M</td>
<td>$110.2 M</td>
<td>+26%</td>
</tr>
</tbody>
</table>

For FY 2014, OCGA processed a total of 4,855 Proposals amounting $3.634 B
For FY 2015, OCGA processed a total of 4,922 Proposals amounting $3.702 B

- Federal: steady with very slight increase
- Somewhat higher increase in Higher Education, Foundations, and Interest Groups

Less routine
Higher Complexity

Excludes CTAO & OIP ISR Proposals
Awarded Dollars by Sponsor Type - FY14 versus FY15

- Federal: steady with very slight increase
- Somewhat higher increase in Higher Education, Foundations, and Interest Groups

Less routine
Higher Complexity

Excludes CTAO & OIP ISR Proposals
FY 2015 Total Number of Outgoing Agreements | FY 2015 Total Outgoing Agreements Amount
---|---
891 | $103,570,986

Number of Outgoing Agreements

- Subgrant Out: 203
  - $18.9 M
- Subcontract Out: 6
  - $3.9 M
- Subcontract Out Modification/Amendment: 10
  - $5.7 M
- MCA Out: 43
  - $4.2 M
- MCA Out Modification/Amendment: 90
  - $7.7 M

Outgoing Agreement Amounts

- $0.0 M
- $5.0 M
- $10.0 M
- $15.0 M
- $20.0 M
- $25.0 M
- $30.0 M
- $35.0 M
- $40.0 M
- $45.0 M
- $50.0 M
- $55.0 M
- $60.0 M
- $65.0 M
- $70.0 M
- $75.0 M
- $80.0 M
- $85.0 M

Histogram showing the distribution of outgoing agreements and their amounts.
FY 2015 Expedited Awards Processing

- 63.4% processed in two work days or less
- 76.4% processed in 5 work days or less

![Graph showing expedited award processing percentages](image-url)
FY 2015 Complex Awards Processing

- 52.2% processed in 20 work days or less
- 71.4% processed in 40 work days or less
OOGA Accomplishments

Proposal Intake Team is IN!

- Central point of contact for near immediate notification of receipt of all incoming proposals using standard communications to acknowledge receipt and identify OOGA reviewer assignment.
- Delivery of proposal data within five minutes to the PI Portal and within 24 hours to the ORA Portal.
- Effective management of proposal review within OOGA and better coverage to provide feedback/response to campus.
- Accurate, real-time record reporting.
- Proposal Intake Team is “in” every day.
OCGA Accomplishments

Improved Faculty Access to Funds

- Further reduced the average turnaround time for expedited award processing from four days to two days
- Improved procedures for interacting with Research Policy and Compliance (RPC) in managing PHS Financial Conflict of Interest disclosures.
- Between March 2013 and February 2014, expedited awards were executed in 5 work days (median) by OCGA.
- Following the RPC process revision, and between March 2014 and June 2015, the turnaround for expedited awards fell to two work days (median).

F&A Exception Process

- Less than our federally negotiated F&A rate
- Campus level review and approval process for F&A Exceptions
- Reduced administrative burden eliminated several layers of approval
- Reduced proposal and award processing times
OCGA Accomplishments

Training and Development:

- Lead efforts to formalize standard operating procedures.
- Design, develop, and implement a comprehensive OCGA Training and Skill Development Program.
- Advance outreach efforts to campus to identify training needs.
- Formulate a program for preparing, monitoring and assessing Departmental Research Associates (DRAs).
- Establish UCLAocga Twitter® account to increase social media presence.
- Implemented the OCGA Master Training Calendar to provide monthly, process-focused training sessions to the campus research community.
OCGA Accomplishments

New Federal “Uniform Guidance”

- Continuing involvement with the UC system-wide and UCLA Uniform Guidance workgroups
  - Review new regulations
  - Develop UC and UCLA-specific guidance
  - Presented preliminary guidance at December 2014 RAF
  - Developed new forms and presented to various campus audiences
OCGA Review of Proposals Received 5 or More Days in Advance of Sponsor Deadline

- OCGA review of internal processes and feedback from campus
  - Incentive to submit early
- Effective December 1\textsuperscript{st} - OCGA will provide a first review response within 12 business hours
- Systematically track and report first response date and time
OCGA Staff Updates

• Kathy Kawamura – Assistant Director Grants

• Tac Phung. 4.20.15 Grant Analyst - the National Defense University Washington DC.

• Yushan Lin. 9.8.15 Contract Specialist - Records Management Specialist.

• Jasen Okunnuga. 9.8.15 Contract Specialist - extensive background in Social Service.

• Ummi Sayers. 9.8.15 Grant Specialist - fiscal management and Junior Grants Manager - Harvard Medical School.

• Safiah Afify. 9.15.15 Grant Analyst - Assistant State’s Attorney - Palm Beach County Florida.
OCGA's Business Hours During Thanksgiving Holiday and Winter Closure

• OCGA will be closed beginning at 5:00 PM on Wednesday, November 25, 2015 through Friday, November 27, 2015. Reopen on Monday, November 30, 2015.

• OCGA will be closed beginning at 1 PM on Friday, December 18, 2015. Reopen on Monday, December 21, 2015.

• OCGA will be closed beginning at 5 PM on Wednesday, December 23, 2015, for the 2015-16 Winter Holiday Closure. Reopen on Monday, January 4, 2016.

• If you have a proposal and/or award which must be submitted to the sponsor on November 26 – November 29, December 18, or December 24 – January 3, please work with your OCGA Analyst/Officer to have the proposal and/or award submitted/processed during the dates and times the OCGA office is open.
Questions?