Today’s Topics

• NIH Domestic Awards to Transition to Subaccounts – Yoon Lee

• PAMS Status – Yoon Lee

• Effort Reporting Status – Maurice Taylor
NIH Domestic Award Transition to Subaccounts

- NIH Notice (NOT-OD-13-120) released on September 26, 2013
- Most of payments for NIH domestic awards are currently made via pooled accounts (G) in Payment Management System (PMS).
- In accordance with DHHS directive to Agencies, NIH will transition all domestic awards payments to the PMS subaccounts (P) by the end of FY15.
- NIH determined phased implementation in response to feedback from the grantee community.
  - FY14 (10/01/2013 – 09/30/2014): All new awards including competitive renewal
  - FY15 (10/01/2014 – 09/30/2015): Non-competing continuation awards
- NIH will indicate “PMS account type”, P, on the NoA under the fiscal information section.
Payment Management System (PMS)

- Centralized grants payment and cash management system operated by the Division of Payment Management HHS.
- PMS service is provided to a number of the HHS and the federal non HHS agencies.
- NIH is one of the federal agencies using PMS service.
- EFM draws cash for NIH awards through PMS on a weekly basis.
- PMS pooled account (G accounts)
  - Draw down funds from a pooled account in aggregate
  - NIH Domestic grantee organizations
- PMS subaccounts (P subaccounts)
  - Draw down funds from award specific accounts
  - NIH Foreign grantee organizations
Implementation Timeline

• FY14 implementation plan for awards with new document numbers
  - All domestic awards with new document numbers issued during FY14 (10/01/13-9/30/14) will be transitioned from the pooled accounts to the subaccounts.
    • Type 1: New awards
    • Type 2: Renewal (a.k.a. Competing continuation)
    • Type 4, 6, 7, and 9
  - All subsequent non-competing continuation awards will be issued in the subaccounts.

• Anticipated FY15 implementation plans for Type 5 and Type 8 awards
  - All non-competing continuation awards that have not yet been transitioned to the subaccounts will be transitioned in FY15 (10/01/04-9/30/15).
    • Type 5: Non-competing continuation
    • Type 8: Change of NIH awarding IC for a non-competing record

• Anticipated FY14 and 15 implementation plans for Type 3 awards
  - All competitive revisions and supplements (Type 3) issued to domestic awards in FY14 and FY15 will be issued in the same account as the parent award – either pooled or subaccount depending on timing.
Implementation Timeline

Anticipated FY15 implementation plans for Type 5 and Type 8 awards, continued

• During the transition period, Type 5 and Type 8 will be issued as Type 4. (funded extension)
  ▪ This will enable NIH to separately track obligations and payments for grants that span Federal FYs 2014 and 2015.
  ▪ NIH will return to the standard use of the type 4s, 5s, and 8s after the transition.

• Project period end will be changed.
  ▪ NIH will break single competitive segment into two “administratively shortened” competitive segments.

<table>
<thead>
<tr>
<th>Original project period</th>
<th>“Administratively shortened” project periods</th>
</tr>
</thead>
<tbody>
<tr>
<td>7/01/13 – 6/30/18 (PMS pooled account)</td>
<td>7/01/13 – 6/30/15 (PMS pooled account)</td>
</tr>
<tr>
<td></td>
<td>7/01/15 – 6/30/18 (PMS subaccount)</td>
</tr>
</tbody>
</table>

• NIH will not issue a revised Notice of Awards for the changed project period.
  • Change will be reflected in the eRA commons.
Implication to Cash Draw and Reporting

• Change in cash draw in PMS
  ▪ EFM currently draws cash from a pooled account.
  ▪ EFM will start drawing cash from subaccounts for new and continuing awards as NIH establishes them in the PMS subaccounts in FY14 and FY15 respectively.

• No Change in FFR cash transaction reporting
  ▪ EFM currently submits quarterly FFR cash transaction data to Division of Payment Management (DPM).
  ▪ EFM will continue to submit quarterly FFR cash transaction data to Division of Payment Management (DPM).
Implication to FFR Expenditure Reporting

- Award needs to be closed in the pooled account to be transitioned to the subaccount.
- For continuing award being transitioned to the subaccounts in FY15, FY14 award is the final year in the pooled account and the final FFR is required for the “administratively shortened” competitive segment.

Example: Original project period: 7/01/13 – 6/30/18
Revised project periods: 7/01/13 – 6/30/15 & 7/01/15 – 6/30/18

<table>
<thead>
<tr>
<th>FFR – Expenditure Reporting Requirement</th>
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<tr>
<td><strong>Standard Process</strong></td>
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<td><em>SNAP</em></td>
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<td>Non - SNAP</td>
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</table>

*SNAP – Streamlined Non-competing Award Process
http://era.nih.gov/services_for_applicants/reports_and_closeout/esnap.cfm
Accommodating Transition of Domestic Awards to PMS Subaccounts: Changes to Continuing Awards in FY15

When the FY15 year award is issued, the project period end date is changed to match budget period end date. This makes the FFR expenditure data for this year the final FFR expenditure data and allows NIH to close the accounting record in the old system. Final Invention Statement and Final Progress Report not required at this time.

Note: Fellowships do not require FFR expenditure data reporting.

<table>
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<tr>
<th>FY</th>
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Paid via PMS “G” (pooled) accounts.

Paid through PMS using “P” subaccount, which requires cash quarterly report.

Progress report submitted and reviewed as a type 5. At time of release of the FY15 award, system converts the type 5 to a type 4 and increases document number.

Closeout of original competitive segment (years 1-5) within 90 days after end of the last budget period.
Carryover Authority During Transition

• Carryover authority will not be changed.
  ▪ If the original award was issued with automatic carryover authority:
    • OFM will automatically* authorize the carryover of unobligated balance reported on the FFR in the PMS subaccount.
  ▪ If the original award was issued without automatic carryover authority:
    • Prior approval will still need to be obtained before funds are available in the PMS subaccount.

• Carryover funds will not be available in the PMS subaccounts until the final FFR for the prior year(s) of the competitive segment is submitted.

* In accordance with existing policy, the GMO will review unobligated balance in excess of 25% of the total authorized amount for the budget period and may request additional information form the grantee.
Payment Request After 90 days

• Payment request in PMS subaccounts 90 days beyond the project period end date
  - To promote more timely closeout of funds, PMS will now hold payment requests for funds in the subaccounts for the awards that are 90 days or more beyond the project period end date.
  - Fund requests will not be processed until NIH has approved the payment request from the grantee.
  - Final FFR AND the final payment request must be submitted within 90 days after the project period end date.

• To be in compliance with 90 day closing and ensure reimbursement
  - EFM will review the current draw schedule to ensure request of the final payment be made within 90 days after the project end date.
  - Timely submission of closeout packet (COP) to EFM is essential for EFM to process the final FFR and process the final payment request within 90 days.
    • No change on the due dates for COP to EFM: 60 days after the project end date.
    • For the awards transitioning in FY15 that will have two “administratively shortened” project periods, a COP is required for the final FFR for each project period.
Resources

• NIH Notice for award payment transition to subaccounts:

• NIH FAQs for award payment transition to subaccounts:
  http://grants.nih.gov/grants/payment/faqs.htm
PAMS Pilot Status

- Pilot Go Live: Monday, January 6, 2014
- Testing is in progress
- Pilot group training is in progress
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L1 – Overview Lecture Part 1  
L2 – Overview Lecture Part 2  
H – Hands-on  
RAF: Post Award Management System
PAMS Training

RAF: Post Award Management System

PAMS Overview – Part 1, MRL 1-441
Nov 12, 2013

PAMS Overview – Part 1 @ Kinross - 210
Nov 13, 2013
PAMS Project Timeline

- August: Application Development
- September: Testing
- October: Training
- November: Pilot Deployment
- December: Go Live (Jan 6th)

RAF: Post Award Management System
ERS Updates

Maurice Taylor
Assistant Director

November 14, 2013
Effort Reporting Update

- **Open Period Release**
  - Spring 2013 – Summer 2013
  - **Deadline February 7, 2014**

- **Closed Period Rate as of 7/29/13 Deadline**
  - On-time Submission
    - 83%
  - UCLA’s overall completion rate
    - 98%

- **As of 11/14/13**
  - UCLA’s overall Work-N-Process rate
    - 93% certification
## Effort Reporting Statistics

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ERS Support

- Outreach Support
- Help Desk: ershelp@research.ucla.edu
  - Connie Brown
  - Leticia Calderon
“SEE YOU AT 100%!!!”