Research Policy & Compliance

Ann Pollack
Assistant Vice Chancellor – Research
November 18, 2010
UCLA Policy 900 Update

- “Principal Investigator Eligibility”
- Key changes affect PI Eligibility for HSSEAS employees eligible to serve as a PI, Co-PI or Multiple PI (sections B.2 and 3).
UCLA Policy 900 Key Changes

- Full-time Adjuncts (at the associate and full level), and full-time research series appointees (at the associate and full level) within the School of Engineering are no longer eligible to serve as a PI (or Co-PI or Multiple PI) without individual exceptions.
Only Full-time Adjuncts (at the associate and full level), and full-time research series appointees (at the associate and full level) within the College of Letters and Science may continue to submit proposals without seeking special exceptions.
Coming Soon

- Additional revision needed based on ratification of the UAW contract for postdoctoral scholars.
- References to APM 390 will be replaced by references to the UAW contract.

Questions about the policy should be directed to Ann Pollack, Assistant Vice Chancellor - Research, at x40387 or apollack@research.ucla.edu.
UCLA Policy 993 Update

- “Responding to Allegations of Research Misconduct”
- Two primary changes:
  - a change in the way allegations against students will be handled, and
  - a change in the limitation period within which the alleged misconduct must have taken place in order to initiate the response process.
Allegations Against Students

- October 27, 2010, version applies to all academic appointees (including postdocs) and staff AND students working on externally sponsored research or supported by external training grants, if the sponsor requires that allegations of research misconduct be handled through a process that mirrors federal standards.

- Research Misconduct allegations against other students are handled under the Student Conduct Code as academic dishonesty (plagiarism or fabrication which includes falsification).
Coming Soon

- The Student Conduct Code will be revised to:
  - Indicate that research misconduct allegations against students that are not covered by Policy 993 are specifically considered violations of the Student Conduct Code; and
  - Indicate that it will be the responsibility of the Research Integrity Officer to initiate retractions or corrections of any publications, if needed.
UCLA Policy 993 Updates


- Questions about the policy should be directed to Ann Pollack, Assistant Vice Chancellor - Research, at x40387 or apollack@research.ucla.edu.
NSF Responsible Conduct of Research (RCR) Training Requirement - update

- UCLA required to implement a plan for delivery of training and ensure compliance

- Individual research proposals do not need to include plan details

- Required of all faculty, students, postdoctoral scholars and staff supported by NSF research or training awards
RCR Course Access for Employees

- UCLA employees subject to this requirement will receive electronic notification of the course via LMS.
- Other employees (not supported by NSF awards) may voluntarily access the NSF RCR core course through the UC Learning Center website at [http://lms.ucla.edu](http://lms.ucla.edu), via the “Research Administration” menu.*

*COMING SOON*
RCR Course Access for NSF Stipend Recipients

- Students receiving stipends through NSF awards and subject to this requirement will receive electronic notification of the course via LMS.
Questions

- See UCLA’s plan at: http://www.research.ucla.edu/researchpol/documents/RevisedPlanforNSFRCREducationalProgram.pdf
- Contact Ann Pollack, Assistant Vice Chancellor – Research at apollack@research.ucla.edu or x40387.