## Financial Reporting Backlog Initiative

<table>
<thead>
<tr>
<th>Category</th>
<th>Count</th>
<th>Late</th>
<th>Completion Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Grants</td>
<td>919</td>
<td>41</td>
<td>96%</td>
</tr>
<tr>
<td>State/Local/Fed</td>
<td>103</td>
<td>8</td>
<td>92%</td>
</tr>
<tr>
<td>Private</td>
<td>443</td>
<td>25</td>
<td>94%</td>
</tr>
<tr>
<td><strong>Totals:</strong></td>
<td><strong>1465</strong></td>
<td><strong>74</strong></td>
<td><strong>95%</strong></td>
</tr>
</tbody>
</table>
## Invoice Backlog Initiative

<table>
<thead>
<tr>
<th>Category</th>
<th>Number</th>
<th>Abnormal</th>
<th>% Complete</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Grants</td>
<td>375</td>
<td>10</td>
<td>97%</td>
</tr>
<tr>
<td>State/Local/Fed</td>
<td>519</td>
<td>12</td>
<td>98%</td>
</tr>
<tr>
<td>Contracts</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Private</td>
<td>1523</td>
<td>14</td>
<td>99%</td>
</tr>
<tr>
<td>Totals:</td>
<td>2417</td>
<td>36</td>
<td>99%</td>
</tr>
</tbody>
</table>
## Effort Certification % Completed

<table>
<thead>
<tr>
<th>Date</th>
<th>SP06</th>
<th>SU06</th>
<th>WI07</th>
<th>SP07</th>
<th>SU07</th>
<th>WI08</th>
<th>SP08</th>
<th>SU08</th>
<th>FA08</th>
</tr>
</thead>
<tbody>
<tr>
<td>05/12/09</td>
<td>84.3%</td>
<td>87.8%</td>
<td>87.1%</td>
<td>84.7%</td>
<td>85.0%</td>
<td>82.5%</td>
<td>79.9%</td>
<td>77.9%</td>
<td>75.8%</td>
</tr>
<tr>
<td>04/13/09</td>
<td>83.3%</td>
<td>86.3%</td>
<td>85.8%</td>
<td>83.1%</td>
<td>83.1%</td>
<td>80.7%</td>
<td>78.0%</td>
<td>75.2%</td>
<td>72.0%</td>
</tr>
<tr>
<td>01/06/09</td>
<td>81.8%</td>
<td>83.6%</td>
<td>82.5%</td>
<td>79.1%</td>
<td>78.4%</td>
<td>75.4%</td>
<td>71.4%</td>
<td>65.2%</td>
<td>54.7%</td>
</tr>
<tr>
<td>11/06/08</td>
<td>81.7%</td>
<td>82.8%</td>
<td>81.5%</td>
<td>78.0%</td>
<td>77.4%</td>
<td>73.9%</td>
<td>69.5%</td>
<td>62.5%</td>
<td>46.0%</td>
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<tr>
<td>07/16/08</td>
<td>80.7%</td>
<td>81.4%</td>
<td>79.5%</td>
<td>75.9%</td>
<td>74.2%</td>
<td>70.8%</td>
<td>64.2%</td>
<td>47.9%</td>
<td></td>
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<tr>
<td>04/23/08</td>
<td>79.3%</td>
<td>79.4%</td>
<td>77.3%</td>
<td>73.1%</td>
<td>68.7%</td>
<td>66.1%</td>
<td>56.1%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>04/15/08</td>
<td>78.5%</td>
<td>78.3%</td>
<td>76.2%</td>
<td>72.0%</td>
<td>65.5%</td>
<td>63.0%</td>
<td>49.5%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>04/09/08</td>
<td>79.0%</td>
<td>77.0%</td>
<td>75.3%</td>
<td>72.0%</td>
<td>59.1%</td>
<td>57.2%</td>
<td>31.9%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>02/14/08</td>
<td>76.4%</td>
<td>74.8%</td>
<td>72.7%</td>
<td>68.1%</td>
<td>49.5%</td>
<td>48.1%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>01/15/08</td>
<td>77.9%</td>
<td>73.6%</td>
<td>71.2%</td>
<td>67.6%</td>
<td>38.9%</td>
<td>38.5%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
ERS – Outstanding Issues

- System is not recognizing corrections made to the payroll system for transactions that have an “Exception / Unrecognized Earnings” status.
- Derived / Weighted percentages are incorrect for employees that are paid on a bi-weekly and monthly basis within a quarter.
- System needs to be more flexible with selection criteria combinations used in order to generate more manageable lists and reports.
- System calculation of percent effort for dual appointment employees is incorrect.
Training – Course 4
Post Award Administration

- 2 Sessions – May 29th & June 2nd
- Major Topics:
  - EFM Overview
  - Award & Proposal Set up
  - Award Management
  - Accounts Receivables
  - Cost Transfers
  - Effort Reporting
  - Closeout Process
  - Audit
Cost Transfer Updates

- DHHS Letter (April 23, 2009)
  - Untimely Cost Transfers – *repeat finding*
  - Expect diligence in working to correct deficiency
  - Crucial to provide assurance that the funds are adequately safeguarded and managed
  - Failure in addressing finding could result in:
    - Repayment of Federal Funds Received
    - Receiving Reduced Federal funds
    - Jeopardizing the receipt of future Federal funds

- Payroll Cost Transfer Hard Edit
  - Implementation Target - September 2009
Cost Transfer Updates

- Avoid Unnecessary Cost Transfers
  - Charge appropriate fund initially
- Review financial ledgers monthly to facilitate timely discovery of errors
- Provide appropriate justifications
  - How did the error occur?
  - Tie cost benefit to award being charged
  - Why >120 days? What measures are being taken to insure no reoccurrence?
- Frequency of cost transfers may be an indication of poor award management
Cost Transfer Updates

- Enhancements to current NPEAR process
  - Answer 4 questions
    - Why is the transfer being made?
    - Why it was originally charged to the fund being credited?
    - How was it determined that this expense belongs to the acct/cc/fund now being charged?
    - Reasons for the delayed action if adjustment is made after 120 days
  - Ability to save and forward rejected journals (WIP#) so departments do not have to re-enter the transaction if resubmitting
Recovery Fund Updates

- Awards
  - 6 Awards received – totaling $3,794,860
    - 1 NPI
    - 1 Dentistry
    - 2 Microbiology, Immunology & Molecular Genetics
    - 1 Pediatrics
    - 1 Neurology

- ARRA flag
  - Indicator on Synopsis
  - Moving to R-net QDB

- ARRA Post Award Team
  - Brian Atienza – team lead
    - batienza@research.ucla.edu X 43145