Research Policy & Compliance

Ann Pollack
Assistant Vice Chancellor – Research
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Responding to Allegations of Research Misconduct

- UCLA Policy 993: “Responding to Allegations of Research Misconduct”.
- Applies to all research (not just federally funded).
Research Misconduct

- **Defined as:**
  - *Fabrication*: making up data or results.
  - *Falsification*: manipulating research materials, equipment or processes, or changing or omitting data.
  - *Plagiarism*: the appropriation of another’s ideas, processes, results or words without giving appropriate credit.
Policy 993

- Policy 993 applies to all academic appointees and staff who were at UCLA at the time of the alleged research misconduct.

- Policy 993 applies to students working on federally-funded research or who were supported by federal research training grants at the time of the alleged research misconduct.
Allegations of Research Misconduct brought against students engaged in other research (no federal support), or those preparing M.A. theses or Ph.D. dissertations, are handled under the Student Code of Conduct.
Research Misconduct

- Policy 993 does not apply to faculty teaching activities.

- The definition of Research Misconduct does not include:
  - authorship disputes;
  - honest error or differences of opinion;
  - other kinds of alleged wrongdoing.
Research Misconduct

- The Vice Chancellor for Research = the UCLA Research Integrity Officer.
- Allegations should be reported to the Research Integrity Officer.
Resources and Contacts

- Roberto Peccei, Vice Chancellor for Research, Roberto Peccei
  - X59347
- Ann Pollack Assistant Vice Chancellor – Research
  - X40387
Resources for Reporting Other Kinds of Wrongdoing:

- Graduate Division
- Office of the Dean of Students
- Audit & Advisory Services
- EH&S
- Office for Human Research Protection Programs
- Office of Animal Research Oversight
- Sexual Harassment Prevention Office
- Other
Reporting suspected Improper Activities

- William Cormier, Director, UCLA Administrative Policies and Compliance,
  - x5-4010
- UCLA Compliance Hotline
  - 1800-296-7188 or
  - http://universityofcalifornia.edu/hotline