Research Policy & Compliance

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NIH Update on Requirements for Instruction in the Responsible Conduct of Research

(Notice NOT-OD-10-019)
Responsible Conduct of Research

- Defined as the practice of scientific investigation with integrity
- Involves awareness and application of established ethical principles and established professional norms in activities related to scientific research
NIH Update on Instruction in RCR

- NIH Notice issued November 24, 2009
- UCLA Deans, Directors memo issued January 8, 2010
- *UCLA Principal Investigators are responsible for design of plans on a grant-by-grant basis*
NIH Update on Instruction in RCR

- New proposals submitted on or after January 25, 2010, must include plans for providing RCR instruction.
- Non-competing continuation applications (progress reports) submitted for the January 1, 2011 deadline must report on instruction provided.
- Applies to all training grants (institutional and individual), to career awards, and to other grants with training components.
NIH Update on Instruction in RCR

- No prescribed curriculum
- Principles, key concepts, best practices outlined
- Online education alone is not sufficient (except for short term training programs or in unusual circumstances)
NIH Update on Instruction in RCR

- Peer review of proposal will include evaluation of RCR plans
- Individualized plans will be evaluated and rated as acceptable or unacceptable
- Fundable applications with unacceptable RCR plans will not be funded until acceptable plans are provided
Topics included in most acceptable plans

- Conflict of interest
- Protection of human and animal subjects
- Laboratory safety
- Ethical issues such as
  - The scientist as a responsible member of society
  - The impact of research on society and the environment
- Peer review
- Data acquisition, management, sharing, and ownership
- Research misconduct and responding to allegations of research misconduct
- Collaborative research
- Authorship and publication practices
NIH Expectations

- Faculty are encouraged to contribute to formal and informal instruction.
- RCR plans should include substantive contact hours between participants and faculty.
- Instruction is expected to occur during each career stage.
- Senior fellows and career award recipients may participate as lecturers or discussion leaders.
Where to find the notice:

Notice NOT-OD-10-019 is accessible at: