O CGA Updates

Patti Manheim - Director
February 13, 2014
Today’s Topics

- NIH Fiscal Operations for FY 2014
- Updates from the January 2014 FDP Meeting
- Other OCGA Updates
NIH Fiscal Operations for FY2014

- NIH Budget $30.15 billion
  - Increase of $1 billion over FY2013
- FY2014 Non-competing continuations
  - Awards already issued at reduced levels, may be partially or fully restored, depending on the institute/center
  - Awards to be issued may be reduced up to 3% below previously committed levels
  - Out year commitments for FY2015 and beyond remain unchanged
  - Institutes and Centers will be posting their fiscal policies
- See NOT-OD-14-055
NIH Fiscal Operations for FY2014

- FY2014 NRSA Awards
  - Stipend levels increased by 2%
  - 2014 awards that were issued at 2012 level will be revised to adjust stipends to 2014 levels
  - Appointments made at 2012 levels will need to be amended in xTrain once the award adjustment is made
  - See NOT-OD-14-055 and NOT-OD-14-046
Federal Demonstration Partnership (FDP) Updates

Federal Agency Updates – NIH

• Still operating under continuing resolution.
• Salary cap increase, effective 1/12/14
• For FY14 (October 1, 2014 – September 30, 2015)
  ▪ Salary earned: 10/1/13 – 1/11/14 capped at $179,700
  ▪ Salary earned: 1/12/14 – 9/30/14 capped at $181,500
• See NOT-OD-12-043
Federal Demonstration Partnership (FDP) Updates

Federal Agency Updates – NIH

- Payment Management System Subaccounts:
  - FY14 – Transition of all awards with new document numbers (Types 1, 2, 4, 6, 7, and 9)
  - FY15 – transition of continuing awards (Types 5 & 8)
  - Administrative supplements will be awarded to the same type of account (subaccount or pooled) as the parent award
  - Carryover authority will not change
Federal Demonstration Partnership (FDP) Updates

Federal Agency Updates – NIH

• **Grants Policy Statement** revised 10/01/13
  - Does not introduce any *new* material.
  - Clarifies certain policies.
  - See **NOT-OD-14-001**

• **New HHS Closeout Requirements**
  - Proposed implementation date: 10/01/14
  - No change to 90 day requirement to submit closeout documents
  - New
    - Revised financial reports no later than 6 months from due date of original report
    - 270 requirement for agency to complete entire closeout process

- Stay Tuned for Further Updates!
Federal Demonstration Partnership (FDP) Updates

Federal Agency Updates – NIH

- **RPPR for non-SNAP Awards:**
  - Pilot ended February 1, 2014
  - Anticipate required use for all grantees August 1, 2014

- **RPPR for Multi-Year Funded Awards:**
  - Required for progress reports submitted on/after January 31, 2014
  - See [NOT-OD-14-026](#)
Federal Demonstration Partnership (FDP) Updates

Federal Agency Updates – NIH

• Effective with due dates on/after January 25, 2014 F, K, T, and D applications require use of Adobe Forms C.
  ▪ If prepared in S2S Grants using Adobe Forms B, use Copy/Transform functionality
Federal Demonstration Partnership (FDP) Updates

Federal Agency Updates – NSF
- New Proposal and Awards Policies and Procedures Guide (PAPPG)
  - Released November 2013
  - Effective for proposals submitted/due on/after February 24, 2014
- Changes include:
  - Project Summary Formatting
  - Participant Support
  - Guidance on Visa Costs
  - Conferences, Symposia and Workshops
  - Project Reporting – Not Cumulative
Federal Demonstration Partnership (FDP) Updates

Federal Agency Updates – NASA

• New Proposer’s Guidebook due for release January 31, 2014*
  ▪ http://www.hq.nasa.gov/office/procurement/nraguidebook/

*still waiting
Federal Demonstration Partnership (FDP) Updates

- Meeting Agendas and Presentations available at:
  http://sites.nationalacademies.org/PGA/fdp/PGA051651

- UCLA FDP participants:
  - Kim Duiker  kduiker@research.ucla.edu
  - Sharon Lam  slam@research.ucla.edu
  - Cindy Gilbert  cgilbert@research.ucla.edu
EPASS – Section 2

2. Department or Organized Research Unit (ORU)
   - Administering Department Name: _________________________________
   - Account #: _________________________________
   - Dept. Contact Name: _________________________________
   - Dept. Contact Name: _________________________________
   - Affiliated with Center/ORU: _________________________________

   If "Other Center/Institute" is selected above, please specify name, or if multiple Center(s)/Institute(s) please add additional selection(s) here:

   - California Nanosystems Institute (CNSI)
   - Clinical & Translational Science Institute (CTSI)
   - Ctr for Embedded Networked Sensing (CENS)
   - Ctr for Nanoscale Innovation for Defense (CNID)
   - Ctr for Scalable & Integrated Manufacturing (SINAM)
   - Ctr on Functional Engineered Architectonics (FENA)
   - Institute for Digital Research & Education (IDRE)
   - Institute for Pure and Applied Mathematics (IPAM)
   - Joint Inst. for Reg Earth Sys Sci & Eng (JIFRESSE)

3. Proposal Identification
   - Proposal Title: _________________________________
   - Project Begin Date: ____________

   FS Code (Dept. Code): _________________________________
   Cost Center: _________________________________
   Recharge ID: _________________________________
   Extension: _________________________________
   Email Address: _________________________________
EPASS – Section 6

Enter IRB # or "Pending"

OR check if the proposed use of human/animal subjects is to be defined after award as part of the proposed scope of work.
NIH Modular Budgets

• OCGA will not
  ▪ ask for,
  ▪ look for, or
  ▪ review
detailed budgets for NIH modular applications.
• While detailed budgets are not required by NIH they are important to have when calculating F&A cost base, writing justifications, and for audit purposes
• PIs/Departments are required to ensure that all costs charged are in accordance with
  ▪ applicable cost principles
  ▪ NIH Grants Policy Statement
  ▪ legislatively imposed restrictions (e.g., salary cap)
Why did OCGA Deny my RAS?

- Requests for Authorization to Spend (RAS) are processed in accordance with [UCLA Policy 920](#).
- AVC Marcia Smith is currently reviewing the policy.
Why did OCGA Deny my RAS?

- The same issues that prevent OCGA from accepting an award will prevent OCGA from approving a RAS
  - Human/animal subjects without IRB/ARC approval
  - COI disclosure and/or reporting requirements not met (EDGE, 740, 700)
  - Sponsor imposed restrictions on spending prior to full execution of agreement
  - No Coverage Analysis
  - Missing/Incomplete EPASS
  - Missing PI Exception
  - Lack of Vital Interest Waiver
Staffing Updates

- Records Management
  - Yushan Lin - Specialist

- Proposal Intake
  - Jennifer Caldejon – Analyst
  - Gerald Gamble – Specialist
  - Magaly Chavez – Specialist

- Award Intake
  - Jaimie To – Specialist

- Outgoing Subawards
  - Elizabeth Serrano – Work Study
Staffing Updates

- Grants and Cooperative Agreements
  - EnJunaya Canton – Senior Analyst
  - Ghazaleh Nourparvar – Specialist
  - Jenelle Bossett – Specialist

- Subawards and Contracts
  - Kristin Lund – Officer
  - Johnny Kidd – Officer (beginning March 3)
  - Jeffrey Alonzo - Specialist