Welcome

Marcia Smith
Associate Vice Chancellor for Research
Agenda

• **Welcome and Announcements** - *Marcia Smith*

• **IBC Click Implementation, What’s In Store for IACUC** – *Jennifer Perkins*

• **NIH Subaccount Transition**- *Maurice Taylor & Jovan Iglesias*

• **Federal Fund Closeout Procedure** – *Yoon Lee*

• **Research with Human Subjects** – *Kip Kantelo*
  - New Federal Law Regarding Newborn Blood Spots
  - webIRB Updates
  - Planned webIRB Service Interruption

• **Review ORA Research Proposals & Awards FY 2014 & FY 15** – *Rory Constancio*

• **OCGA Updates** – *Patti Manheim*
  - Working with LA County (challenges, relationship, goals) – *Heather Winters*
  - eRA S2S Grants (Cayuse outage), NSF Fastlane to Research.gov transition – *Cindy Gilbert*
  - Social Media Update (Twitter/You Tube) – *Kathy Kawamura*

• **Questions and Discussion**
OARO UPDATES: ANIMAL & SAFETY NEWS

Jennifer Perkins, MA, CPIA
Director – Animal Research Oversight
I'd like to start with a cartoon.

It's about a guy who shows a cartoon before giving a boring presentation.

But it doesn't work because the cartoon has no punchline.
Call for Members!

• The ARC and IBC are seeking faculty members to serve in scientist positions. If your PI works with animals and/or biohazardous materials, please encourage them to consider serving on one of these vital committees.

• Both Committees meet twice/month.

• ARC service involves monthly facility inspections.

• Contact me for more information.
IBC & ARC Online Systems

• The IBC paper application system is in the process of being replaced with an online submission and review system from Click-Huron, the vendor behind webIRB and EDGE.

• System roll-out is anticipated to occur in July 2015, following faculty feedback and user acceptance testing.

• Following IBC, we will commence the RATS replacement project for ARC.
Just In Time for IACUC

- Urgent requests for JIT documentation from ARC should be communicated to us as soon as possible to allow sufficient time for congruence review and protocol amendment, if needed.

- In the event of a very short turn-around, OARO can coordinate with OCGA to send NIH a letter that the review is in process.
3Rs Grant

• The aim of the program is to promote and support pilot studies designed to investigate refinement of methods, and/or reduction and replacement of animals, as described above.

• Up to $10,000 awarded twice annually. This funding opportunity is provided by the Office of the Vice Chancellor for Research.

• Next Deadline: April 24, 2015

http://ora.research.ucla.edu/OARO/Pages/grants_and_awards.aspx
PDP Survey

• A UCLA Professional Development Program (PDP) team has volunteered to support ORA in identifying the most useful information to further develop resources to support faculty.

• Participation from faculty and staff is important to obtain a broad range of experience from those who seek access to research-related activities.

• Survey available until April 24, 2015.

https://docs.google.com/forms/d/1WvMmK29DjyqYvjimsVIPQ0UhzwEbgazl9-UmhArp00/viewform?usp=send_form
Questions?

• ARC Questions
  • oaro@research.ucla.edu
  • 310-206-6308

• IBC Questions
  • oibc@research.ucla.edu
  • 310-206-3182

• Me!
  • jperkins@research.ucla.edu
  • 310-794-9645
NIH Subaccount Transition Update

April 9, 2015

Jovan Iglesias
Manager, EFM – Cash Management & Accounts Receivable
Mr. President asks the team at NIH, “How is the spending coming along, NIH?”
“Billions of dollars are allocated to research funding, and I’d like to know the figures as of April 15, 2015,” the President adds.
The NIH accountants scramble away at their desks, and tell him politely...
Mr. President:

Please wait 3 Months...!! We get our figures quarterly.

Have a nice day.!

- NIH
Agenda

• Overview/Background
• EFM Goals for effective transition
• Pooled & Sub Account Methodology
• NIH Letter of Credit Population
  – NIH Breakdown
  – Transition Population
    • Month
    • SNAP vs. Non-SNAP
    • Department
    • Non-SNAP (by Department)

• Communication & Action Steps
• Milestones
Background

• Department of Heath and Human Services is requiring transparency for all LOC financial activity and in a more readily fashion.

• Payment Management System team must request campuses to transition LOC awards under Pooled account to the Sub-account.

• Transition is effective 10/1/15 & expected to be completed by 9/30/16.
• A single dollar amount is requested for all NIH Awards with unreimbursed expenses.
• NIH does not have visibility to the current activity of an award.
• Disbursements are updated upon the submission of a quarterly report.
• Sub account will enable each award to be drawn at the award level.

• NIH is able to monitor the most current expenditures and activity for an award each time a request is submitted.
EFM Goals

• Identify all NIH active awards currently reimbursed through LOC
• Identify the awards that are subject to transition from the pooled to sub-account
• Identify the awards that will retire/close/sunset under the pooled account
• Determine effective way to transition awards in the pooled account to sub-account by:
  – Identifying awards under the SNAP
  – Identifying awards under Non-SNAP
• Prepare campus for the transition process
As of 12/31/2014, UCLA has 1534 NIH awards
- 287 awards are currently in the sub-account method
- 1247 NIH awards are being managed through the Pooled Acct.
  - 995 Will not transition to sub-accounting
  - 252 - will transition to sub account

<table>
<thead>
<tr>
<th>Account Type</th>
<th>Count</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>To Close Off in Pooled Account</td>
<td>995</td>
<td>80%</td>
</tr>
<tr>
<td>To Transition to Sub Account</td>
<td>252</td>
<td>20%</td>
</tr>
<tr>
<td>Total: Pooled Account (1460G)</td>
<td>1,247</td>
<td>81%</td>
</tr>
<tr>
<td>Total: Sub Account (1460P)</td>
<td>287</td>
<td>19%</td>
</tr>
<tr>
<td>Total Population: NIH/DHHS</td>
<td>1,534</td>
<td>100%</td>
</tr>
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</table>

NIH Award Breakdown

- Pooled Account - Transition, 252, 16%
- Sub Account, 287, 19%
- Pooled Account, 995, 65%

1,534 Total
NIH Breakdown – Transition Awards

- Of the total NIH population, 252 funds will transition to Sub Account
- The 252 funds then break down into 2 sub populations:
  - SNAP Awards: 188 funds
  - Non-SNAP: 64 funds

<table>
<thead>
<tr>
<th>Account Type</th>
<th>Count</th>
<th>%</th>
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</thead>
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<tr>
<td>Pooled Account</td>
<td>995</td>
<td>64.86%</td>
</tr>
<tr>
<td>Pooled Account - Transition</td>
<td>252</td>
<td>16.43%</td>
</tr>
<tr>
<td>Sub Account</td>
<td>287</td>
<td>18.71%</td>
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<tr>
<td>Total</td>
<td>1,534</td>
<td></td>
</tr>
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</table>

<table>
<thead>
<tr>
<th>Account Subtype</th>
<th>Count</th>
<th>%</th>
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<tr>
<td>SNAP</td>
<td>188</td>
<td>74.60%</td>
</tr>
<tr>
<td>Non-SNAP</td>
<td>64</td>
<td>25.40%</td>
</tr>
<tr>
<td>Total</td>
<td>252</td>
<td></td>
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</table>
Population – SNAP vs. Non-SNAP

• SNAP & Non-SNAP will carry distinct closeout processes
  – SNAP awards will require an interim financial report to close out the Pooled portion, and assist in the transition to Sub Account portion (G/L based)
  – Non-SNAP awards will require a COP from department to assist EFM in filing the interim financial report, and processing final draw under the pooled account. Departments to receive advance new fund number.
Population – SNAP vs. Non-SNAP

- SNAP & Non-SNAP
  - Timing of Closeout & Forecast Breakdown
    - # of awards
    - Per Month

### Timing of Closeout

<table>
<thead>
<tr>
<th>Month</th>
<th>Year</th>
<th>Total</th>
<th>SNAP</th>
<th>Non-SNAP</th>
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<tbody>
<tr>
<td>October</td>
<td>2015</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>November</td>
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<td>1</td>
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<td>December</td>
<td>2015</td>
<td>7</td>
<td>6</td>
<td>1</td>
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<tr>
<td>January</td>
<td>2016</td>
<td>9</td>
<td>9</td>
<td>0</td>
</tr>
<tr>
<td>February</td>
<td>2016</td>
<td>22</td>
<td>21</td>
<td>1</td>
</tr>
<tr>
<td>March</td>
<td>2016</td>
<td>6</td>
<td>6</td>
<td>0</td>
</tr>
<tr>
<td>April</td>
<td>2016</td>
<td>26</td>
<td>22</td>
<td>4</td>
</tr>
<tr>
<td>May</td>
<td>2016</td>
<td>23</td>
<td>19</td>
<td>4</td>
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<tr>
<td>June</td>
<td>2016</td>
<td>37</td>
<td>27</td>
<td>10</td>
</tr>
<tr>
<td>July</td>
<td>2016</td>
<td>76</td>
<td>46</td>
<td>30</td>
</tr>
<tr>
<td>August</td>
<td>2016</td>
<td>18</td>
<td>11</td>
<td>7</td>
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<tr>
<td>September</td>
<td>2016</td>
<td>27</td>
<td>20</td>
<td>7</td>
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<tr>
<td>Total</td>
<td></td>
<td>252</td>
<td>188</td>
<td>64</td>
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</table>

### Forecast Breakdown

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<tr>
<th>Date</th>
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<th>SNAP</th>
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<tbody>
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<td>10/1/2015</td>
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<td>3/1/2016</td>
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<td>4/1/2016</td>
<td>37</td>
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<tr>
<td>5/1/2016</td>
<td>76</td>
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<td>6/1/2016</td>
<td>18</td>
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<td>7/1/2016</td>
<td>27</td>
<td>27</td>
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<tr>
<td>8/1/2016</td>
<td>18</td>
<td>18</td>
</tr>
<tr>
<td>9/1/2016</td>
<td>27</td>
<td>27</td>
</tr>
<tr>
<td>Total</td>
<td>252</td>
<td>188</td>
</tr>
</tbody>
</table>

14
Population – Non-SNAP by Department

- Majority of departments have between 2-5 funds involved with the Non-SNAP transition (requiring COP)
- Semel Institute (NPI) has the most Non-SNAP awards with 12 funds

<table>
<thead>
<tr>
<th>Dept Name</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEMEL INSTITUTE</td>
<td>12</td>
</tr>
<tr>
<td>NEUROLOGY</td>
<td>5</td>
</tr>
<tr>
<td>DENTISTRY</td>
<td>4</td>
</tr>
<tr>
<td>PATHOLOGY DEPT. ADMIN.</td>
<td>4</td>
</tr>
<tr>
<td>MOLECULAR, CELL &amp; DEV. BIOLOGY</td>
<td>3</td>
</tr>
<tr>
<td>NEUROBIOLOGY</td>
<td>3</td>
</tr>
<tr>
<td>MEDICINE-INFECTION DISEASE</td>
<td>3</td>
</tr>
<tr>
<td>PEDIATRICS-HEMATOLOGY/ONCOLOGY</td>
<td>3</td>
</tr>
<tr>
<td>RADIOLOGICAL SCIENCES</td>
<td>3</td>
</tr>
<tr>
<td>SCHOOL OF NURSING</td>
<td>3</td>
</tr>
<tr>
<td>INTEGRATIVE BIOLOGY &amp; PHYSIOLOGY</td>
<td>2</td>
</tr>
<tr>
<td>PSYCHOLOGY</td>
<td>2</td>
</tr>
<tr>
<td>HEALTH POLICY AND MANAGEMENT</td>
<td>2</td>
</tr>
<tr>
<td>DEPTS. WITH 1 AWARD</td>
<td>15</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>64</strong></td>
</tr>
</tbody>
</table>
Communication & Action Steps – Non-SNAP Awards

• EFM
  – Communication
    • Fund list to be provided to OCGA & ORDM
  – Action Steps
    • ORDM assign new fund number → 90 Days prior to Transition Start Date

• Department
  – Action Steps
    • Closeout Packet to EFM
    • Change PO’s
    • Change Recharge ID’s
    • Change in Sub-agreements
    • Update EDB for Personnel changes
## Milestones

<table>
<thead>
<tr>
<th>Milestones</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide Full Count of NIH Population</td>
<td>Complete</td>
</tr>
<tr>
<td>Provide List/Breakdown between Pooled and Sub account</td>
<td>Complete</td>
</tr>
<tr>
<td>Provide List of Awards to Close under Pooled Account</td>
<td>Complete</td>
</tr>
<tr>
<td>Identify Transition funds between SNAP &amp; Non-SNAP Awards</td>
<td>Complete</td>
</tr>
<tr>
<td>Communicate with EFM, OCGA &amp; ORDM</td>
<td>Complete</td>
</tr>
<tr>
<td>Communication to campus</td>
<td>4/9/15</td>
</tr>
<tr>
<td>Discuss funds retiring under the Pooled account with EFM Fund Management</td>
<td>In Process</td>
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</table>
### Closing

Key points from this presentation:

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>What is going to happen?</td>
<td>Change in draw/report process</td>
</tr>
<tr>
<td>Why it’s happening?</td>
<td>Federal transparency &amp; timely updates</td>
</tr>
<tr>
<td>When it’s happening?</td>
<td>10/1/15 – 9/30/16</td>
</tr>
<tr>
<td>How it’s going to happen?</td>
<td>Based on SNAP &amp; Non-SNAP awards</td>
</tr>
</tbody>
</table>
Questions?
FUND CLOSEOUT WORKGROUP

RAF
April 9, 2015
Yoon Lee
Agenda

- Project Status Update and Timeline
- Wave 1
  - Progress Update
  - Communication next steps
- Federal Fund Closeout Procedures
  - Progress Update and Applicability
  - Process Summary
  - Communication next steps
Project Current Status

January 2015
- Fund Closeout workgroup formed
- 6 Working sessions held since January 2015 so far

February 2015
- Project announced at RAF and through ORA listserv
- Procedure for wave 1 funds finalized

March 2015
- Tailored list of wave 1 funds sent to the departments
- Enhanced Federal Fund Closeout Procedure under development
### Timeline

#### Goal 1: To submit the final FFR due on 06/30/2014 or prior by 6/30/15

<table>
<thead>
<tr>
<th>January</th>
<th>February</th>
<th>March</th>
<th>April</th>
<th>May</th>
<th>June</th>
</tr>
</thead>
<tbody>
<tr>
<td>Finalize the processes to complete the final by 6/30/15</td>
<td>Communicate the process with campus</td>
<td>Dept to submit COPs to EFM by 4/30/15</td>
<td>EFM to submit the finals upon receipt of COPs</td>
<td>EFM to submit all finals following the process by 6/30/15</td>
<td></td>
</tr>
</tbody>
</table>

#### Goal 2: To develop future fund closeout procedures to be effective 7/01/15

<table>
<thead>
<tr>
<th>January</th>
<th>February</th>
<th>March</th>
<th>April</th>
<th>May</th>
<th>June</th>
</tr>
</thead>
<tbody>
<tr>
<td>Develop and document the procedures of financial closeout of the fund incl. a scenario COP is not available on time and revision process</td>
<td>Feedbacks from a broader group</td>
<td>Communicate the procedures with campus</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Wave 1 Progress

- 73 out of 147 have been completed as of April 3, 2015
- 74 remaining to be completed by June 30, 2015

<table>
<thead>
<tr>
<th>Year Final was due</th>
<th>As of 3/1/15</th>
<th># completed</th>
<th>As of 4/03/15</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010 or prior</td>
<td>4</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>2011</td>
<td>6</td>
<td>-</td>
<td>6</td>
</tr>
<tr>
<td>2012</td>
<td>24</td>
<td>14</td>
<td>10</td>
</tr>
<tr>
<td>2013</td>
<td>68</td>
<td>34</td>
<td>34</td>
</tr>
<tr>
<td>~June 2014</td>
<td>45</td>
<td>24</td>
<td>21</td>
</tr>
<tr>
<td>Total</td>
<td>147</td>
<td>73</td>
<td>74</td>
</tr>
</tbody>
</table>

Number of departments involved: 48

49.66% Completed!!!!
Future Fund Closeout Procedures

January 2015 – April 7, 2015

- Workgroup had 6 working sessions and developed enhanced Federal Fund Closeout Procedure

Applicability:

- **Federal** awards that expire **June 30, 2015 and onward**
  - Example: Federal funds expiring April 30, 2015 and the final is due July 30, 2015 – new procedure not applicable. The existing closeout procedures will be followed
  - Example: Federal funds expiring June 30, 2015 and the final is due September 30, 2015 – new procedure applicable
  - Example: Non-federal funds expiring June 30, 2015 and the final is due September 30, 2015 – new procedure not applicable. The existing closeout procedures will be followed
Future Fund Closeout Procedures

90 days prior to fund expiration:
- 1st ORA notification

30 days prior to fund expiration:
- 2nd ORA notification

Fund expiration:
- 3rd ORA notification

45 days after Fund expiration:
- 4th ORA notification

60 days after Fund expiration:

90 days after Fund expiration:

When COP is received within 60 days:

Department to go over the checklist for 90 days prior to fund expiration and take necessary actions to submit COP by 60 days after fund expiration.

When COP is NOT received within 60 days:

- 6 - 8 calendar days
  - General ledger monthly closing. EFM notifies dept that COP is past due.

by 15th of the month
- EFM notifies dept of the final figures per EFM’s review of the general ledger excluding questionable costs.

by 22nd of the month
- Department confirms the final figures and provide additional info as necessary.

by Sponsor due date:
- EFM reviews additional info as applicable and submits the final to the sponsor.
Future Fund Closeout Procedures

- EFM notifications to Department for fund expiration and COP due date

### Existing notifications:

<table>
<thead>
<tr>
<th>#</th>
<th>When</th>
<th>For</th>
<th>By</th>
<th>To</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>90 days prior fund expiration</td>
<td>All C&amp;G funds</td>
<td>Automated</td>
<td>PI ✓</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Dept Fund Manager ✓ ✓</td>
</tr>
<tr>
<td>2</td>
<td>30 days prior fund expiration</td>
<td>All C&amp;G funds</td>
<td>Automated</td>
<td>✓ ✓</td>
</tr>
<tr>
<td>3</td>
<td>On the day of fund expiration</td>
<td>All C&amp;G funds</td>
<td>Automated</td>
<td>✓ ✓</td>
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### Additional notifications:

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<tr>
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<th>When</th>
<th>For</th>
<th>By</th>
<th>To</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>45 days after fund expiration</td>
<td>C&amp;G funds – FEDERAL only</td>
<td>Automated</td>
<td>✓ ✓</td>
</tr>
<tr>
<td>5</td>
<td>1st week of the month the final is due</td>
<td>Federal only – only when COP is not received within 60 days from expiration</td>
<td>EFM Accountant</td>
<td>✓ ✓ ✓ ✓</td>
</tr>
<tr>
<td>6</td>
<td>No later than 15th of the month the final is due</td>
<td>Federal only – only when COP is not received within 60 days from expiration</td>
<td>EFM Accountant</td>
<td>✓ ✓ ✓ ✓</td>
</tr>
</tbody>
</table>

*Department Senior Management refers to CAO, CFO, Director, or an equivalent position.*
Next Steps -
Future Fund Closeout Procedures

April 2015
- Solicit feedbacks on the draft of enhanced federal fund closeout procedure from colleagues in Central Administration and in Schools active in research administration
- Finalize the procedure document

May 2015
- Communicate enhanced Federal Fund Closeout with campus
  Target issue date: May 4, 2015
- New EFM webpages for Closeout
  Target launch date: May 1, 2015

June – July 2015
- On-going communication of the procedure through various channels
Questions

Contact information

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yoon.lee@research.ucla.edu

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jechave@research.ucla.edu

Jen Ear:
X42834,
Jen.Ear@research.ucla.edu

Jennifer Aguilar
X42846
JAguilar@research.ucla.edu

Jovan Iglesias
X42836
jiglesias@research.ucla.edu
OHRPP Updates

Kip Kantelo, Director
April 9, 2015
Updates

- webIRB- SmartForm changes
- webIRB downtime
- Newborn Blood Spots
- NIH Genomic Data Sharing
- Expanded Access Roadmap
webIRB

- Two recent deployments
- Usual information & guidance
- Integration with CRMS
- Downtime for server move
  - Friday, May 15 at 9pm
  - Sunday, May 17 at 9am
Newborn Blood Spots

- Rush change to federal law
- Affects research that:
  - Is federally-funded
  - Uses spots collected after 3/16/15
- Such research is:
  - Automatically “human subjects research”
  - Ineligible for waiver of informed consent
- Pending bills in state legislature
Thank you!

- For questions:
  - North & South General IRBs
    - x57122
    - gcirb@research.ucla.edu
  - Medical IRBs
    - x55344
    - mirb@research.ucla.edu
Review ORA FY 2014 Research Proposals & Awards & FY 2015 Q3

http://portal.research.ucla.edu/

Rory Constancio
Director, Office of Research Data Management
FY 2015 through Q3 Update

Proposal Requested Dollars & Counts

<table>
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<th>Requested Dollars</th>
<th>Proposal Counts</th>
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<td>$2,802,568,659</td>
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Awarded Dollars & Counts

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<tr>
<th>Awarded Dollars</th>
<th>Award (Transaction) Counts</th>
</tr>
</thead>
<tbody>
<tr>
<td>$662,952,868</td>
<td>3,862</td>
</tr>
</tbody>
</table>
FY 2015 through Q3
Comparison to FY 2014
Proposal Requested Dollars & Counts

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Requested Dollars</th>
<th>Proposal Counts</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2015 to Q3</td>
<td>$2,802,568,659</td>
<td>4,119</td>
</tr>
<tr>
<td>FY 2014 to Q3</td>
<td>$2,860,599,922</td>
<td>4,054</td>
</tr>
</tbody>
</table>
FY 2015 through Q3
Comparison to FY 2014
Awarded Dollars & Counts

<table>
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<tr>
<th>Fiscal Year</th>
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<td>FY 2015 to Q3</td>
<td>$662,952,868</td>
<td>3,862</td>
</tr>
<tr>
<td>FY 2014 to Q3</td>
<td>$614,886,709</td>
<td>3,648</td>
</tr>
</tbody>
</table>
FY 2014 & FY 2015 through Q3
Awarded Dollars

FY 2014 through Q3
FY 2015 through Q3

Federal Government

$421.8

$356.5

Business & For-Profit

$84.7

$55.2

State & Other Government

$40.2

$81.1

Higher Education

$51.2

$50.2

Foundations & Charities

$49.4

$54.4

Interest Groups & Other

$16.8

$16.3
Today’s Topics

• Proposal Intake
• Electronic Research Administration Updates – Cindy Gilbert
• Working with LA County – Heather Winters
• Social Media Update – Kathy Kawamura
Proposal Intake

• Allows for more effective management of proposal review within OCGA and feedback/response to campus.
• Accurate, real-time record reporting.
• Delivery of data within 5 minutes to the PI Portal and within 24 hours to ORA Portal.
Proposal Intake

From: ORA PROPOSALS INBOX
Sent: Date/Time Email sent
To: PI and Department Contact
Cc: Officer/Analyst; Specialist; ORA PATS Records
Subject: OCGA, Proposal type - PATS # - Project Title – PI Name - Sponsor Name – Sponsor

Due Date: 04/09/15 at 5:00 PM
Sponsor Name: ____________________
Department: _______________________
PI: _______________________________
Project Title: _______________________

Dr. _______ and _________,

The Office of Contract and Grant Administration (OCGA) received proposal materials on 04/08/15 at 8:17 AM for the above referenced project.

This proposal has been assigned to (Officer/Analyst Name and email address), for review, approval and submission.

Please contact (Officer/Analyst Name) with any questions.

Institution # ________________
Proposal Intake

OCGA Proposal Intake Team

proposals@research.ucla.edu
Recent S2S Grants (Cayuse) Disruptions

• Major outage occurred March 23 – 25
  ▪ Vendor hardware failure
  ▪ Three proposals had to reassembled to submit directly to Grants.gov
  ▪ One proposal reassembled in S2S Grants for future submission
  ▪ ORIS/OCGA follow-up with vendor

• Minor disruption on April 1
  ▪ UCLA single-sign-on outage
  ▪ Users unable to logon during outage
  ▪ Those already logged in were not affected
  ▪ No applications affected
Recent S2S Grants (Cayuse) Disruptions

• Getting Help
  ▪ Email: s2sgrants@research.ucla.edu
  ▪ Cindy Gilbert – (310)267-4814
  ▪ Joe Gibbs – (310)794-6937
  ▪ OCGA Officer/Analyst/Specialist

• Subscribe to S2S Grants List-serv:
  http://lists.ucla.edu/cgi-bin/mailman/listinfo/s2sgrants
NSF Transition from FastLane to Research.gov

What is Research.gov?
• NSF’s grants management system
• Modernization of FastLane
• Provide research related information and grants manage services in one location

Will FastLane be shut down in the near future?
• No, this is a multi-year process
• Both Research.gov and FastLane are currently used for different functions
# NSF Transition from FastLane to Research.gov

<table>
<thead>
<tr>
<th>FastLane</th>
<th>Research.gov</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proposals</td>
<td>Application Services</td>
</tr>
<tr>
<td>• Prepare and Submit Proposals and LOIs</td>
<td>• Proposal Status</td>
</tr>
<tr>
<td>• Reference Status</td>
<td>• Application Submission Web Service</td>
</tr>
<tr>
<td>• Budget Revisions</td>
<td></td>
</tr>
<tr>
<td>• Proposal File Updates</td>
<td></td>
</tr>
<tr>
<td>Award Functions</td>
<td>Reporting</td>
</tr>
<tr>
<td>• View/Print Award Documents</td>
<td>• Project Outcomes Reports</td>
</tr>
<tr>
<td>• Supplemental Funding Requests</td>
<td>• Final, Annual and Interim Project Reports (RPPR)</td>
</tr>
<tr>
<td></td>
<td>• Federal Financial Reports (FFR)</td>
</tr>
<tr>
<td></td>
<td>• Award Cash Management Service</td>
</tr>
</tbody>
</table>
NSF Transition from FastLane to Research.gov

<table>
<thead>
<tr>
<th>FastLane</th>
<th>Research.gov</th>
</tr>
</thead>
<tbody>
<tr>
<td>Notifications and Requests</td>
<td>New Budget Related Notifications and Requests (Coming April 24, 2015)</td>
</tr>
<tr>
<td>• No Cost Extensions</td>
<td>• Administrative/Clerical Salaries</td>
</tr>
<tr>
<td>• Long/Short-Term Absence of PI/PI</td>
<td>• Additional Categories of Participant Support Costs (e.g., incentives, gifts, etc.)</td>
</tr>
<tr>
<td>• Delays/Changes in Scope</td>
<td>• <em>Travel Costs for Dependents</em></td>
</tr>
<tr>
<td>• Budget Related Changes</td>
<td></td>
</tr>
<tr>
<td>• Significant Changes in Effort Devoted</td>
<td></td>
</tr>
<tr>
<td>• Change/Transfer of PI</td>
<td></td>
</tr>
<tr>
<td>PI and Review Functions</td>
<td>Public Information</td>
</tr>
<tr>
<td>• Maintain PI Information</td>
<td>• Research Spending and Results</td>
</tr>
<tr>
<td>• Proposal Review</td>
<td>• Science, Engineering &amp; Education Innovation</td>
</tr>
<tr>
<td>• Panelist Functions and Management</td>
<td></td>
</tr>
</tbody>
</table>
NSF Transition from FastLane to Research.gov

How do I access Research.gov?
• Research.gov uses the same login credentials as FastLane

Where can I get more information about this transition?
• Research.gov Listserv
  ▪ system_updates-subscribe-request@listserv.nsf.gov
• Volunteer for Usability Efforts
LA County Contracts
Heather winters
Assistant Director
UCLA and LA County

• Currently administer over 30 active agreements
• Largest to date is $25 million
• Broad spectrum of projects
  • Public Service
    • Medical and dental services to HIV clients, mobile clinics, training
  • Other Service
    • Youth jobs, emergency preparedness
  • Research
    • Energy efficiency, evaluation and data analysis, vehicle technology
  • Affiliation agreements
    • Student and Resident training affiliations between LA County and SoM, SoN, other UCLA hospital and clinics
UCLA and LA County

• LA County Divisions
  - Public Health, DCFS, LADWP, Mental Health, First 5, Probation, etc.
  - Each division has their own process for handling the administration of contracts
    - Contractual
    - Legal
    - Programmatic
    - Insurance and Risk Management

• Types of agreement
  - Standard “boilerplate” sole source and delegated authority
  - Request for Bid (RFB) – Purchase Order
  - Master
  - Request for Statement of Qualifications (RFSQ)
  - Statement of Eligibility and Interest (SEI)
UCLA and LA County

• Negotiation Process
  • Lengthy Timeline
    • 60 in person hours to 1 year
    • Board of Supervisor approval
  • Issues
    • Violate UC policy - indemnification/liability, insurance, ownership, data sharing
    • Conflict with UC Human Resource policies and/or Union Agreements

• Audits
• Future goals
  ▪ Continue to develop relationships with Division representatives
  ▪ Develop a inter-agency agreement template suitable for both parties
Questions
SOCIAL MEDIA (OCGA)

....a new day has dawn

twitter  @ UCLAocga

Coming soon

UCLAOcga
QUESTIONS